



# Welcome to the SAM-TWG



# Objectives of SAM-TWG meeting, 7-11 Nov. 2011, Ethiopia:

- Identify current spatial analysis and modeling challenges in the different basins;
- Get an overview of approaches and which/how they adjust existing methods to the specific basin challenges (linking socio-economic/institutional data to the bio-physical world, adjusting hydrological models to the particular basin dynamics) ;
- Create a network of scientists to share knowledge, work together and help each other;
- Come up with a list of cross cutting issues to be taken forward during the IFW3.





# Objectives of the IFW3 SAM-TWG session:

- Bring together and engage potential members /participants and beneficiaries of the SAM TWG;
- Present the outcomes from the first SAM workshop in the Blue Nile Basin;
- Identify topic working group objectives relevant to the broader CPWF community and mechanisms to maintain the momentum of the group;
- Initiate a 'formal' SAM network using e.g. mailbase, wiki etc.



# SAM in ET: 2 day field trip to the Lake Tana Area – source of the Blue Nile

Explored the landscape and had a lot of opportunities to discuss processes in context, comparing issues from different CPWF basins

*e.g. visited rainfed and irrigated areas (formal and community/traditional); observed hydropower (Nile falls and Lake Tana) and environmental flow allocations; livestock, common land and deforestation issues; landscape processes and watershed management first hand; hydro-met installations*

*+ dead dog ☠ and Jumbos 😊*



## 2-day Workshop in Addis Ababa:

- Presentations and discussion on 'state of the art' in hydrological, spatial, economic/PES modelling from basin teams and invited experts
- Review of current basin modelling situation

*Identified commonalities, challenges and potential solutions with view to cross-basin fertilization*







Now:

1. Report on the modelling and spatial analysis techniques used in the BDC's
2. Presentations on 3 cross-cutting themes prioritized by the SAM group in Addis:
  - XLRM and Scenario Development
  - Landscape Dynamics
  - Data and Uncertainty
3. Group discussion and feedback
4. Other issues?

*... more on your presentations on Wednesday share fair at **1 pm**....*

# **Review of Modelling in the BDC watersheds**

Manuel Magombeyi, Natalia Uribe, Solomon Seyoum

# Modelling Efforts and Purposes

- **Hydrological and Hydrodynamic Modelling**
  - Water availability and quality response to management changes
  - Soil erosion and land degradation
  - Extreme events and flooding extents
  - Salinity and saline intrusion
- **Productivity Modelling**
  - Water productivity of farming and production systems
  - Identification of 'hot-spot' areas for management interventions
  - Productivity gaps and potentials
- **Water Resources Modelling**
  - Optimal water allocation for various sectors and users
  - Integrated assessment of water sources and demands
  - Conflict resolution



# Modelling Efforts and Purposes cont.

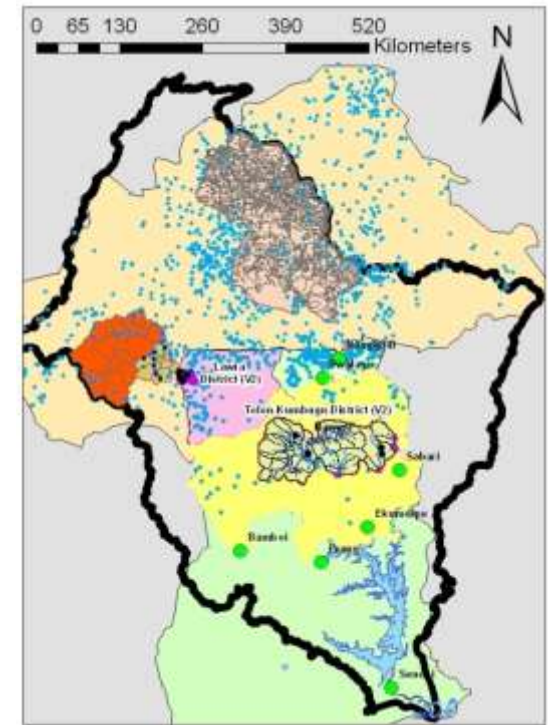
- **Socio-economic modelling**
  - Capturing social responses to drivers of changes and technological interventions
  - Cost-benefit analysis of alternative options
  - Attribution of livelihood improvements
- **Environmental Modelling**
  - Ecosystem functions versus consumptive uses
  - Environmental impacts of management options and drivers
- **Integrated Impact Modelling**
  - Trade-off analysis of options and scenarios
  - Provision of evidence for decision making
  - Holistic assessments of impacts and vulnerability

# Examples of Models Adopted:

Category	Models	Basins
Hydrology	SWAT VMod Bayesian Tool Mike/-SHE/-11	Andes; Limpopo; Nile; Volta Mekong Limpopo Ganges
Productivity	Modified Turc's Index AquaCrop LPJmL MaxEnt	Andes Nile  Andes
Water Resources	WEAP MODSIM + CSUDP	Andes; Nile; Volta Mekong;
Environment	InVEST Env. Simulator and Scenario Analyzer	Volta; Nile Andes
Socio-economic	ABM (COMMONS) Tradeoff Analysis	Volta Andes
Integrated Impact	ECOSUAT InVEST + ABM	Andes; Nile Volta

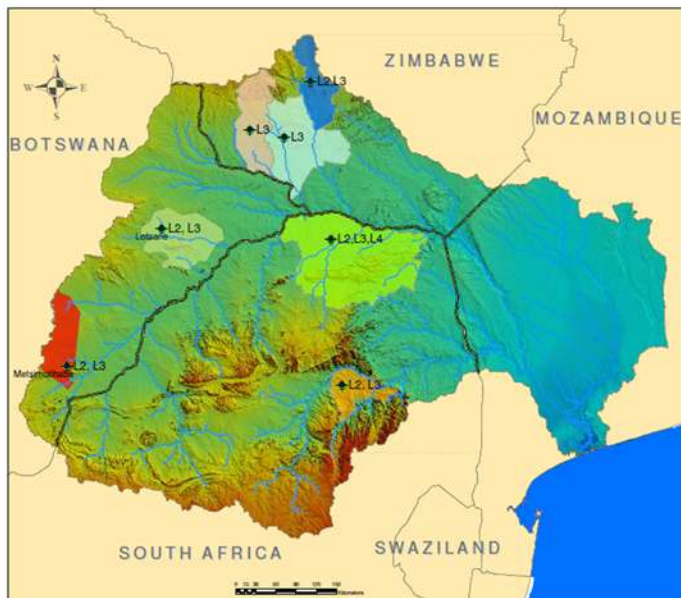
# Volta

Biggest challenges: scale issues; data scarcity; characterizing sedimentation, erosion and population pressure; uncertainty – how to deal with error propagation from one model to the next; linking SWAT & WEAP

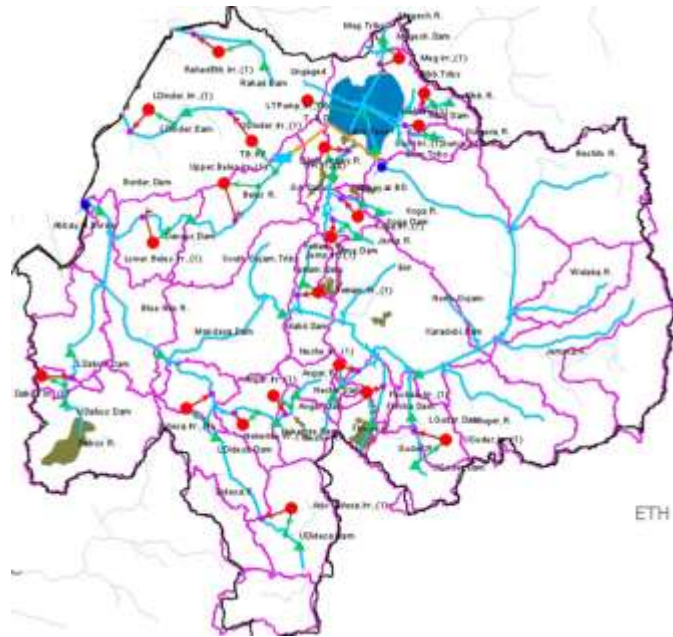


# Limpopo

Challenges data scarcity in ungauged basins (different levels of data in contributing countries); localized P & Q; role of small reservoirs; identifying & characterizing (successful) interventions/RMS.







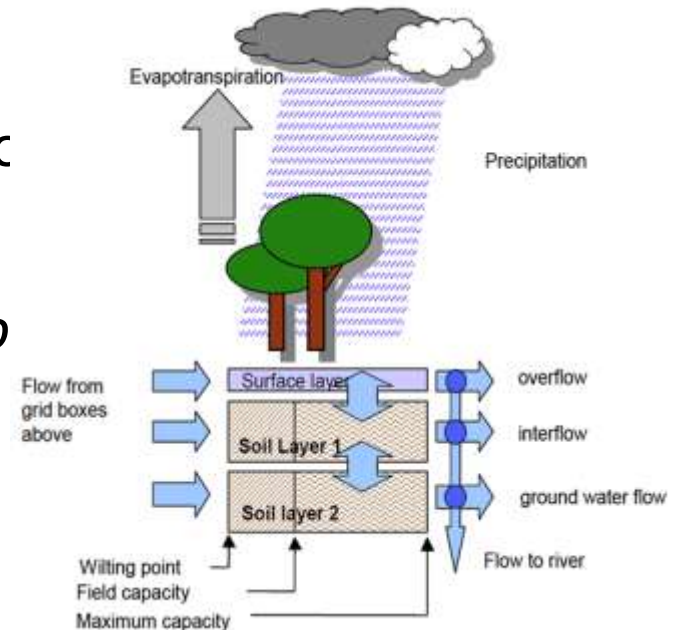
## Nile

Challenges: data scarcity (Q, P/MET and sediment) and uncertainty; model initialization from small sub-catchment to whole basin; properly incorporating landscape and landuse processes – how to model scenarios?

## Mekong

Modelling can be considered as macro scale scoping of multiple use potential c reservoirs

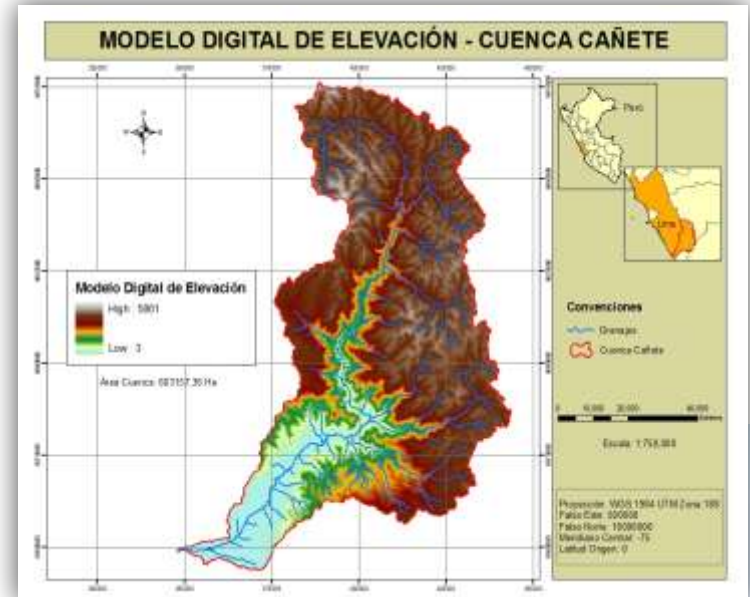
- Hydrological model (VMod) *similar to SWAT*
- Dynamic programming tool (CSUDP) hydropower operation
- Decision support system (MODSIM)



# Andes Basins

The CPWF works in +/-10 small basins in the Andes region covering four countries: Bolivia, Peru, Ecuador & Colombia.

Challenges: Data scarcity, snow melt issues, crop growth simulation, calibration of the model using new sensitivity and uncertainty processes.



# Andes Basins

## AN1

Watershed not selected- pending

## AN2

**Fuquene** (Colombia): Water quality degradation

**Fomeque** (Colombia): Water scarcity, water allocation.

**Quijos** (Ecuador): Conflict between various water use sectors.

**Canete** (Peru): Under productive irrigation systems.

## AN3

**Coello** (Colombia): Paramo conservation, water supply for Ibagu , mining pressures

**Putamayo** (Colombia): Paramo conservation, social instability, high poverty levels.

**Santa** (Peru): upstream-downstream conflict, important hydropower production.

**La Paz/El Alto** (Bolivia): Hydrologic change, rapid urbanization, inter-basin water transfers.





# ***SPATIAL MODELLING IN THE CPWF BASINS***

## ***ANDES, GANGES, LIMPOPO, NILE & VOLTA BASINS***



## **REVIEW FROM SAM TWG MEETING IN ADDIS**

Frank O. Annor, An Notenbaert, Andrew Nelson, Charlotte Macalister  
Catherine Pfeiffer, Manuel Magombeyi, Nowsher Ali Sarder ...

# How is it being done?? Framework!



Benefit Sharing Mechanism & Targeting and Scaling Out

Characterisation of RWM  
≈AWM Practices based on  
protocols

- **Biophysical**
- **Socio-economic**
- **Environmental**
- **Institutional/Political**



Evaluation of Interventions  
MCAs, PGIS, Games

Mapping Recommendation≈  
Extrapolation domains

Assessing Hydrological, Socio-  
economic and Environmental  
Impacts

- **SWAT**
- **WEAP**

DSS≈DST to scale  
out interventions  
or BSM

**Bayesian  
Model**











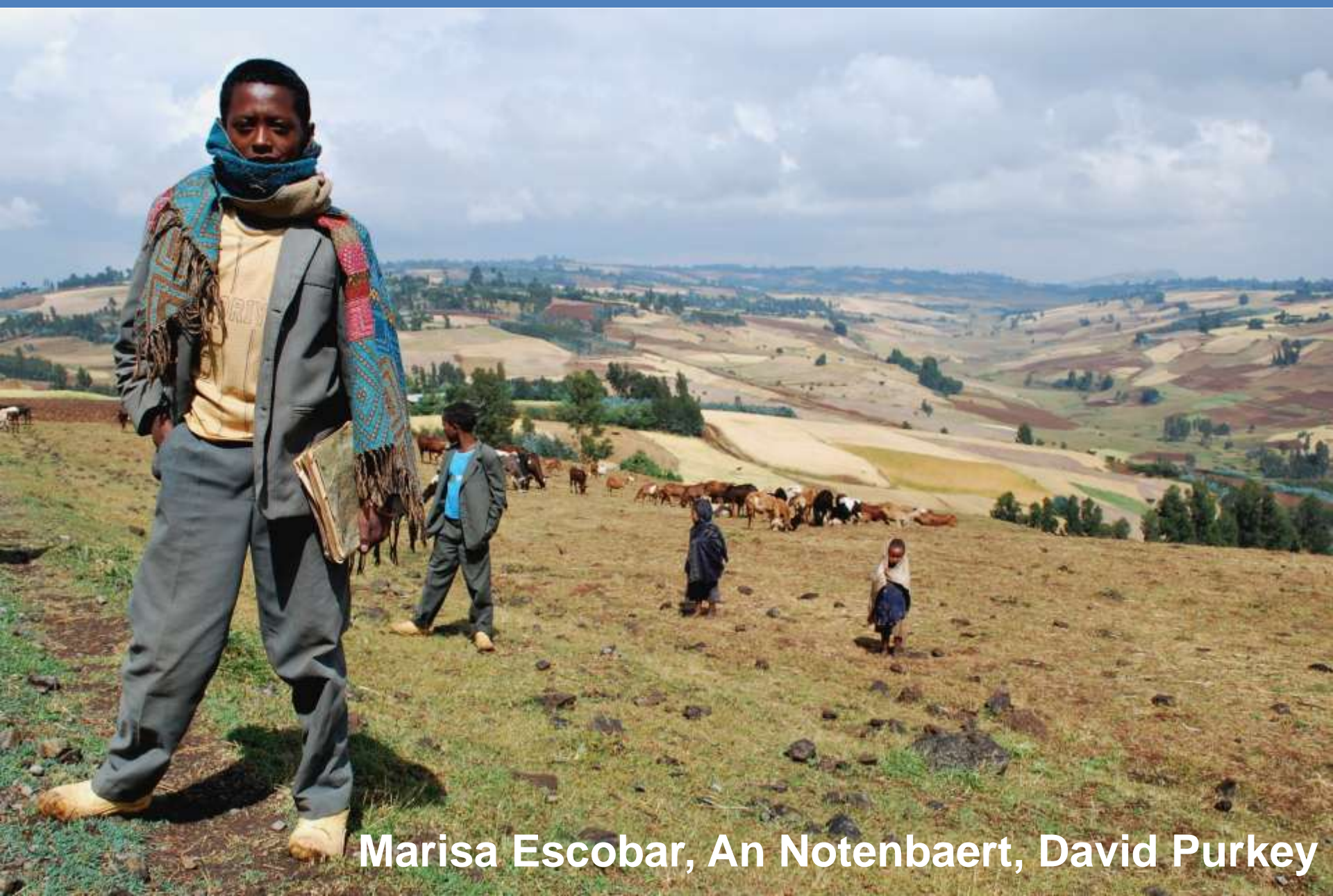
# Challenges

- Application of field surveys (reluctance of locals to participate in more surveys)
- Access to and availability of reliable data
- How to identify and weigh suitability & evaluation criteria?
- How to come up with “main constraints and opportunities” for objective functions?
- Few success/ failure cases documented



Picture: courtesy Issa Ouedraogo

# XLRM framework and scenario development



**Marisa Escobar, An Notenbaert, David Purkey**

As model development and construction on a range of CWPF project has advanced substantially, attention is now turning to the development of scenarios that will form the basis of upcoming analysis. Key issues to consider are:

- How will the environmental and social context change?
- Which land and water management strategies should we analyze?
- How should we compare different strategies?
- How should we best configure our modeling tools to answer the preceding questions?

# The XLRM Framework is a Potentially Useful Tool

<b>X: exogenous factors</b>	<b>L: levers</b>
Scenarios Uncertainty  <i>Outside the control of actors</i>	Policy and management options Strategies  <i>Actions that can be taken</i>
<b>R: Relationships</b>	<b>M: Performance Measures</b>
Models  <i>How factors interact</i>	(testable) outcomes  <i>Determining the success</i>





# Implementation of XLRM

- Define the spatial scope of the analysis/modeling
- Identify key actors/stakeholders within the spatial domain and organize participatory elicitation of key elements of X, L, and M.
- Capture key uncertainties, management strategies, and performance metrics in the modeling framework developed for the project.
- Produce an ensemble of model runs (R) based on the following experimental design:  $X_i L_j \text{ ---- } R \text{ ---- } M_{i,j}$
- Evaluated the matrix of performance metrics to identify promising strategies.

# La Paz-El Alto, Bolivia – Min. of the Env. and Water

(X)	(L)
<p>Growth of city of El Alto</p> <p>Change in land use</p> <p>Social conflict for water use</p> <p>Climate change: rain season change, glacier retreat, increase in temperature</p> <p>Industrial, mining pollution</p> <p>Lack of law to categorize water bodies (so far, 34 draft versions of law)</p>	<p>Project to increase storage capacity</p> <p>Plan to diagnose and water management in watershed</p> <p>Sectorial table for irrigation</p> <p>National watershed plan to increase capacity and monitoring</p> <p>Improving wastewater treatment</p> <p>Master plan for water supply</p>
(R)	(M)
<p>They are interested in being part of the process and generate information with WEAP and AguaAndes.</p>	<p>Hydrologic balance</p> <p>Water distribution</p> <p>Explore new sources of water</p> <p>Mechanisms to compensate communities</p> <p>Quantify irrigation water</p> <p>Mechanisms to implement the law</p> <p>Water bodies categorization</p> <p>Wastewater discharge</p> <p>Appropriate land use</p> <p>Water quality</p>



# LANDSCAPE DYNAMICS IN THE NILE BASIN

Catherine Pfeifer

Tammo Steenhuis

# **Nile in Cairo, Egypt**

## **85% originates from the Ethiopian highlands**





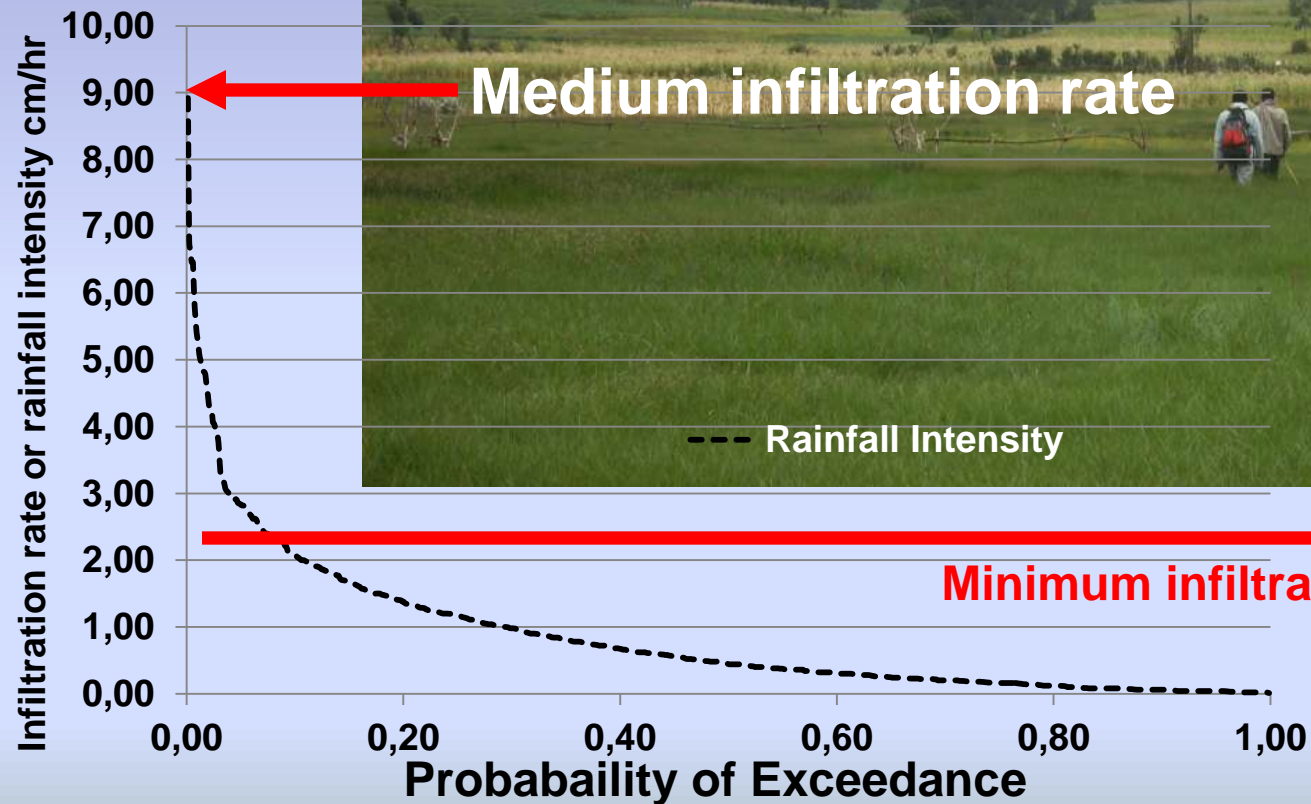
# The Ethiopian highlands



Runoff and Erosion is spatially distributed in the landscape

Why is that?

# Maybar Ethiopia





# RUNOFF PLOTS (MAYBAR)

## SURFACE RUNOFF DECREASES WITH STEEPNESS

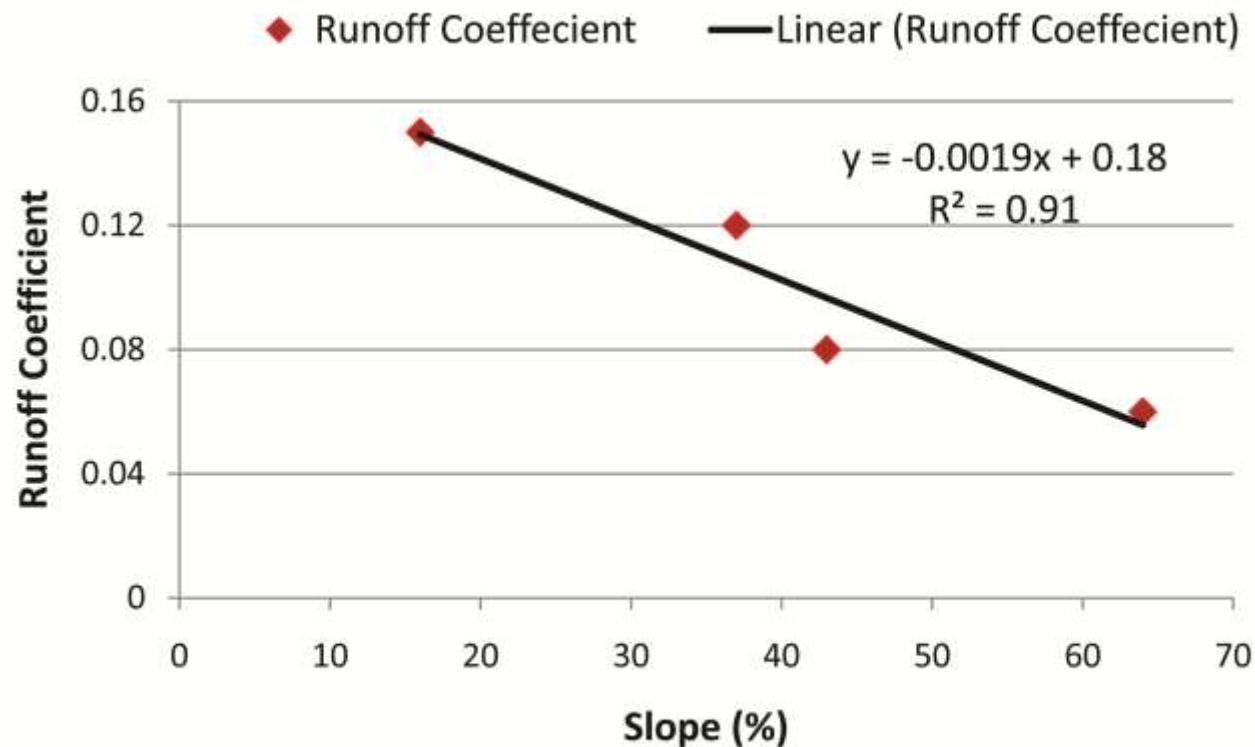


Figure 6



# Location of overland flow areas

**infiltration**

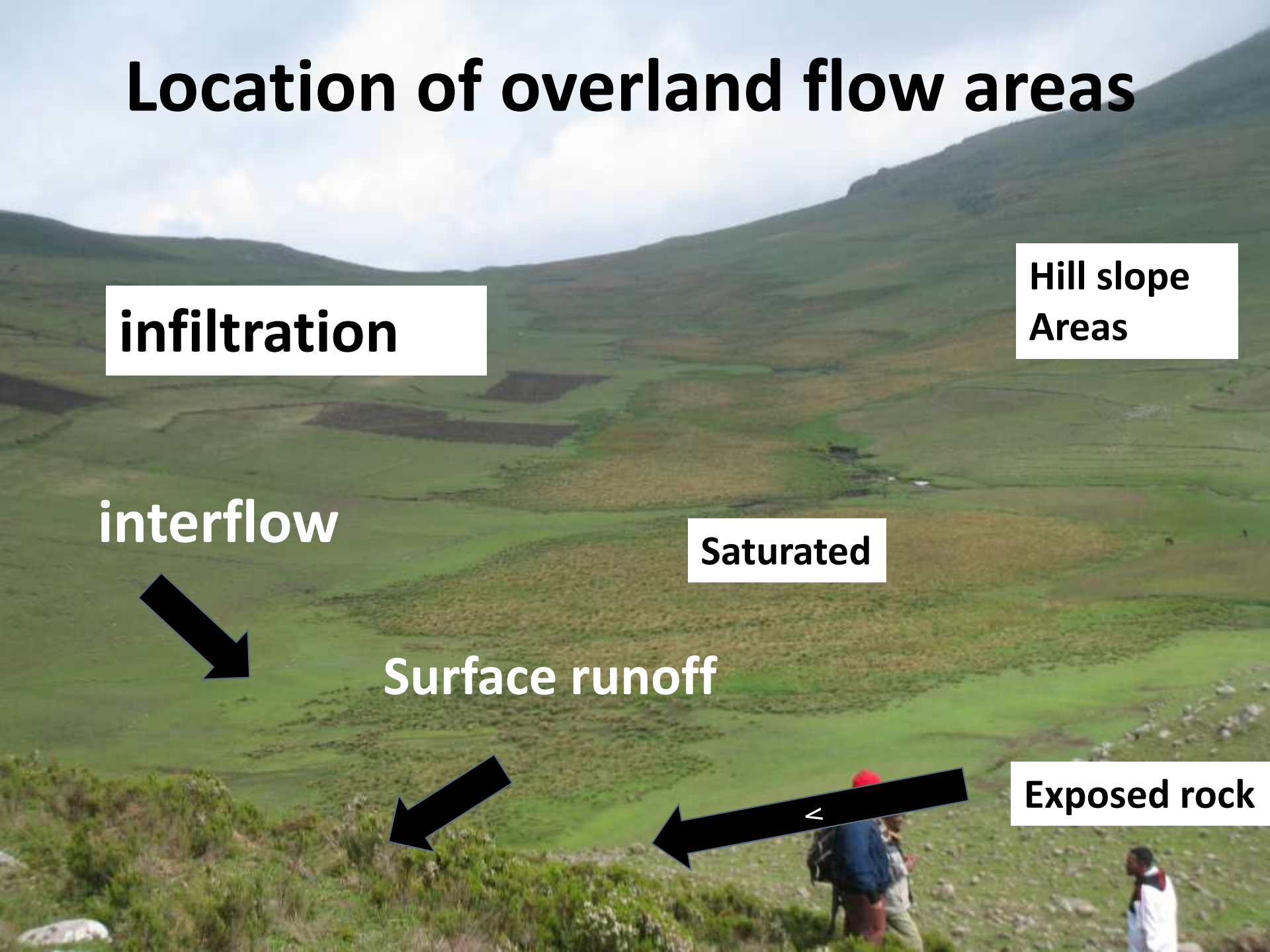
**Hill slope  
Areas**

**interflow**

**Saturated**

**Surface runoff**

**Exposed rock**





Forest steep slopes  
Water shortage

Crops intermediate slopes  
intermediate water tables

Grass lower slopes – high water table



# SAME FIELD CHARACTERISTICS, TWO DIFFERENT LAND MANAGEMENT





# CONCLUSIONS

- Adoption of rainwater management practices depend on bio-physical and socio-economic factors
- The type of practice is related to landscape position and related spatial distribution of surface runoff
- Geo-referenced data is crucial to integrate different scientific approaches and system elements.

# Data and Data Uncertainty

Dan Fuka, Wouter Buytaert

# Data Goals

- **Data for modelling**
  - **XLRM (Relationships/Model)**
    - Model Development
    - Scaling up/out
  - **XLRM (Levers)**
    - Site characterization
    - Management practice planning
- **Impact assessment / How did we do?**
  - **XLRM (Metrics)**
    - Farm income
    - Power production
    - Sediment
- **Generate knowledge**

# Data Collection

- **Pre-review of what is available publicly as well as within the project areas**
- **Types of data necessary (social/biophysical)**
- **Local expert knowledge**
  - Farmer participation and knowledge
- **Accuracy/Reliability/Uncertainty**
- **Gaps in data**
  - Temporal
  - Spatial





# Uncertainty in Field Surveys

XLRM (eXternalities)

- Asking farmers how many cattle they have may give different answers depending how you ask the questions
  - How many cattle you have?
  - How many cattle do you need vaccinations for?



Spherical Cow



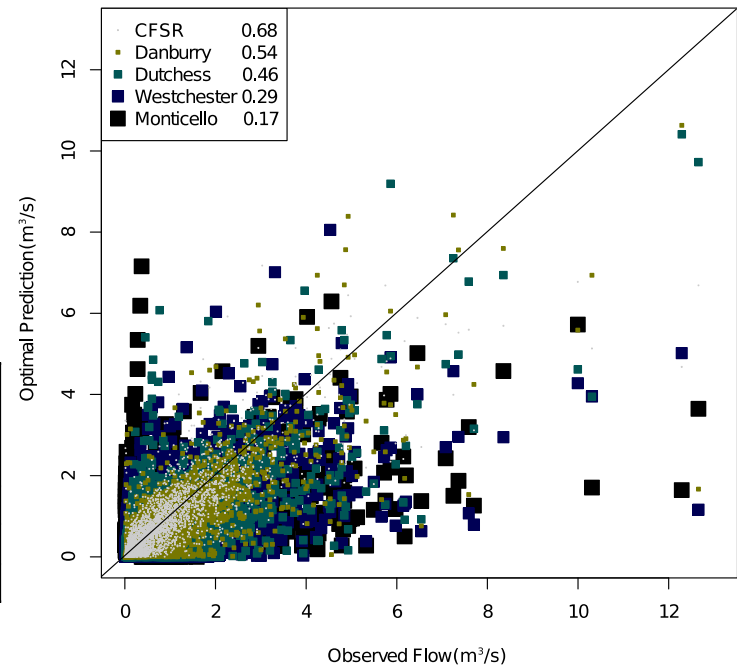
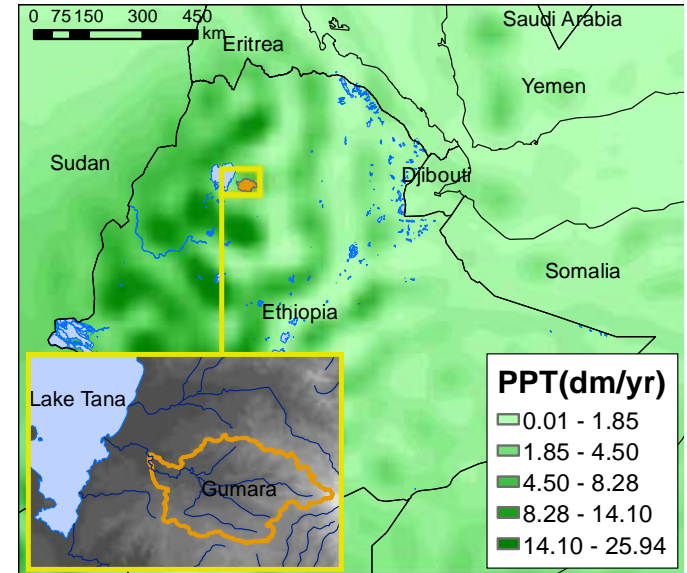
# Uncertainty in Forcing Data

XLRM (eXternalities) cont.

- Precipitation and Climate
  - 2 studies
  - similar data sets
  - significantly different results

# CFSR Study

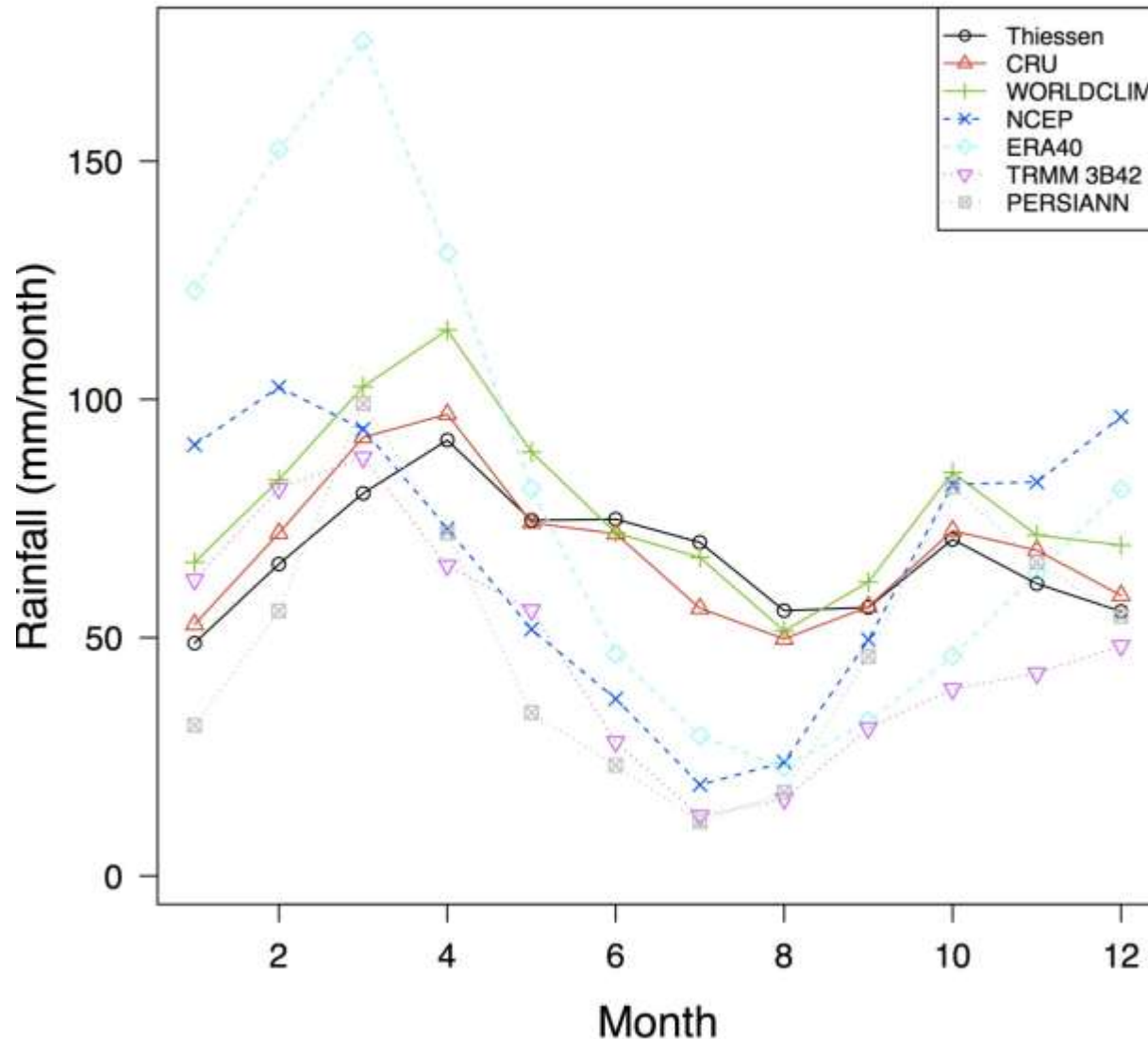
- Climate Forecast System Reanalysis (CFSR)
  - Hourly 1979 – Today
- Closest Weather Stations.
  - Closest Stations in Ethiopia
  - Distance vs Performance in US
- Hydrologic Model Performance Relates to Weather Data Quality
  - SWAT
- Variables
  - PPT, as well as Max and Min Temperature.



Location	Watershed Area (km <sup>2</sup> )	Best Met Distance (km)	Best Met NSE	CFSR NSE
Cross River	44	13	0.54	0.68
Town Brook	37	0/3	0.52	0.63
Gumara	1200	0/30	0.68	0.70

# Uncertainty in Precipitation Products

Before you get excited about previous study



Average monthly precipitation, Paute basin, Ecuador for 7 possible solutions.

Ward et al. 2011



# Data Sharing and Availability

XLRM (eXternalities)

- IP of datasets
  - Corporate or Government owned
  - Investigator withholding
  - Politics
- Funding of public repositories
- Documenting Data Quality

# Gaps in data

XLRM (eXternalities)

- **Continuity in data collection**
- **From current to future requirements**
- **Strategies to fill gaps**
- **Disaggregating global data sets**

# SAM Inspirations

- **Sharing (basins/projects)**
- **Institutional awareness**
  - **How can we help each other with similar projects**
- **Work with groups from Texas and the Netherlands (ISRIC) to develop soil data for SWAT and other models in appropriate formats**
- **Work with groups from Texas and Cornell to have a world dataset of hourly, daily & monthly weather data at 0.5 degree (38km) in the format needed by SWAT, WEAP and other models**
  - **Any basin in world**
  - **30yr hourly time series**
- **Data comparisons by groups from Imperial College and Cornell to grasp uncertainty in forcing data and modelling systems**



## Group discussion in 3 groups:

1. XLRM and Scenario Development
  2. Landscape Dynamics
  3. Data and Data Uncertainty
- How relevant are these challenges to your basin?
  - 30 minutes; 1 reporter from each group.



## Group feedback:

### XLRM framework and Scenario Development

Scenarios: 'stories about the future'; 'tools to examine alternatives'.

- Different tools including visioning exercises, story-telling
- Important to include different stakeholders perspectives
- Tension between scenarios that are accepted by stakeholders vs those which examine extreme alternatives

### Models:

- All involve assumptions which can be subjective
- XLRM can be an entry point to developing scenarios

## Landscape Dynamics – SWAT

What is new?

- Importance of relationship between runoff and topography (in SWAT)

Key message for African context?

- Can use less complicated models to explain hydrology
- Curve number is not applicable to monsoonal climates

## Landscape Dynamics – Linking biophysical and human

Mekong – LU optimization for farm systems

L1/V1 – Bayesian approach e.g. farmer typology

Nile – MCA; poverty mapping techniques for adoption

Bayesian approach – capable of describing what you don't know and to integrate beliefs; dynamic.

Statistical approach – how to make the approach dynamic? Can assess assets over time, to look at hydrological indicators; 'homologue' approach



## Data and Data Uncertainty

Key issues in each basin. E.g.

Mekong – some data held by private companies; seasonal representation can be lacking;

Andes – evolved from local based now moving towards global data sets (RS); model types include policy-maker and science driven

Limpopo / Nile – data availability and resolution

Opportunities:

Increasing use of free global data RS e.g. G-earth

Data sharing between basins

Can we improve communication of available data and share approaches

During CPWF phase 1 a database (IDIS) was created – can this be accessed?



**SAM poster presentations 1pm Share fair – OlympiaB**

**Thanks to Andes – July 2012!**

**Help us to make the group help you!**